

11/08/2004 15:43 FAX 7275078668

Smith&amp;Hopen, P. A.

003/004

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

21901 7590 10/26/2004

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685.00 DP

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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Charlene Morgan	(Depositor's name)
<i>Charlene Morgan</i>	(Signature)
November 8, 2004	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/707,448	12/15/2003	San Fu Lee	1041.02.DIV1	1447

TITLE OF INVENTION: SELF-WATERING POT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$685	\$0	\$685	01/26/2005

EXAMINER	ART UNIT	CLASS-SUB CLASS
GELLNER, JEFFREY L	3643	119-074000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1	<u>Ronald E. Smith</u>
2	<u>Smith &amp; Hopen, P.A.</u>
3	_____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.  
☒ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature *Ronald E. Smith*Date November 8, 2004Typed or printed name Ronald E. SmithRegistration No. 28,761

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PTOL-85 (Rev. 09/04) Approved for use through 04/30/2007.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PAGE 3/4 \* RCVD AT 11/8/2004 2:41:52 PM [Eastern Standard Time] \* SVR:USPTO-EFXXF-2/0 \* DNIS:7464000 \* CSID:7275078668 \* DURATION (mm-ss):01-54



10/8/2004 15:42 FAX 7275078668

Smith&Hopen, P. A.

001/004

## smith & hopen, p.a.

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Clearwater, Florida 33760  
727.507.8558 Tel  
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www.baypatents.com

# Fax

## INTELLECTUAL PROPERTY LAW

To:	U.S. Patent & Trademark Office	From:	Ronald E. Smith
Attn:	Mail Stop Issue Fee	Client:	1041.02.DIV1
Fax:	(703)746-4000	Pages:	4 including coversheet
Phone:		Date:	November 8, 2004
Re:	USSN: 10/707,448	CC:	San Fu Lee

☐ Urgent    ☒ For Review    ☐ Please Comment    ☐ Please Reply    ☐ Please Recycle

Dear Sir:

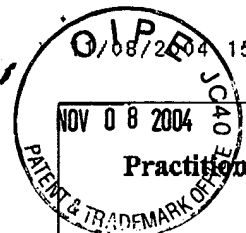
In response to the Notice of Allowance mailed October 26, 2004, we enclose the following:

1. Transmittal of Payment of Issue Fee with Certificate of Facsimile Transmission under 37 CFR 1.8(a) dated November 8, 2004;
2. Form PTOL-85; and
3. Credit Card Payment Form PTO-2038 for \$685.00.

Very Respectfully,

Ronald E. Smith  
Reg. No. 28,761

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Practitioner's Docket No: 1041.02.DIV1

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: San Fu Lee

Serial No.: 10/707,448

Filed: 12/15/2003

For: Self-Watering Pot

Art Unit: 3643  
Examiner: Jeffrey L. Gellner  
Confirmation No. 1447Faxed to (703) 746-4000  
Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL OF PAYMENT OF ISSUE FEE (37 C.F.R. 1.311)

1. Applicant hereby pays the issue fee for the attached Issue Fee Transmittal PTOL-85.
2. Fee (37 C.F.R. 1.18(a)):

Regular

Application status is Small Entity—fee:

\$685.00

3. Payment of fee:  
Enclosed please find Credit Card Payment Form PTO-2038 for \$685.00

Reg. No. 28,761  
Tel. No.: (727) 507-8558SIGNATURE OF PRACTITIONER  
Ronald E. Smith  
Suite 220  
15950 Bay Vista Drive  
Clearwater, FL 33760CERTIFICATE OF FACSIMILE TRANSMISSION  
(37 C.F.R. 1.8(a))

I HEREBY CERTIFY that this correspondence and payment is being transmitted to the United States Patent and Trademark Office by facsimile to (703) 746-4000 on November 8, 2004.

Dated: November 8, 2004

  
Charlene Morgan